

12-14-01

EL865235829US

A

UTILITY PATENT APPLICATION TRANSMITTAL

(For new Non-provisional applications under 37 CFR1.53(b))

Attorney Docket No.: SMI0861P1670US
First Named Inventor: Robert J. Davis, et al.
Express Mail Label No. EL865235829US

jc872 U.S. PTO
10/014381
10/26/01

Box PATENT APPLICATION
Commissioner For Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is a new utility patent application of inventor(s): **Robert J. Davis, John K. Kelly, Frank G. Weis** and entitled: **APPARATUS AND METHOD FOR EXTRACTING PARTICLES FROM A FLUID STREAM**. For purposes of publication, this patent application is assigned to: **Smith & Loveless, Inc.**

Application Elements:

1. ☒ Applicant claims small entity status (See 37 CFR 1.27.)
2. ☒ Specification containing 16 pages.
3. ☒ Drawings: 5 Sheets of ☐ formal drawings ☒ informal drawings
4. ☒ Oath or Declaration
 - a. ☒ An executed declaration or oath for the utility patent application including a power of attorney,
 - b. ☐ An unexecuted declaration or oath for the utility patent application including a power of attorney;
 - c. ☐ Copy from a prior application (37 CFR 1.63(d), for continuation/divisional with No. 17 completed.
 - i. ☐ Signed statement attached deleting inventor(s) named in the prior application (see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☒ Application Data Sheet (See 37 CFR 1.76.)
6. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix).
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary).
 - ☐ Computer Readable Form (CFR)
 - ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - ☐ Statements verifying identity of above copies.

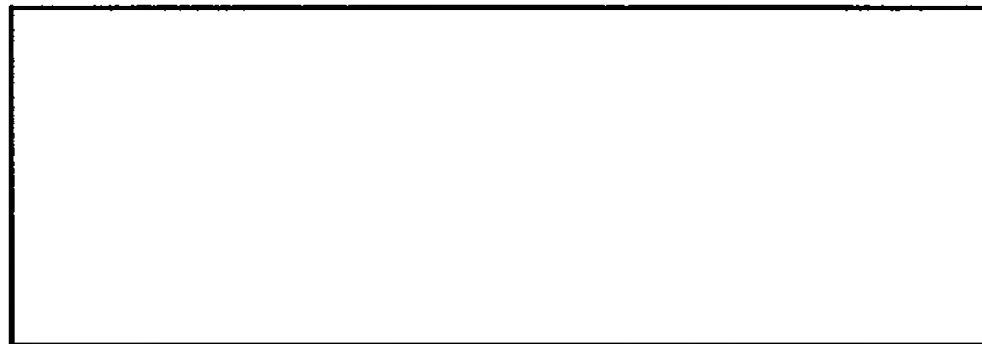
Accompanying Application Parts:

8. ☐ Assignment Papers (cover sheet, document(s) and requisite fee).

20. ☒ The Commissioner is authorized to charge payment of the following amounts associated with this communication or credit any overpayment to Deposit Account No. 04-1644:
- ☒ Additional filing fees under 37 CFR 1.16 or deficiencies in remittances therefor.
 - ☒ Additional processing fees under 37 CFR 1.17 or deficiencies in remittances therefor.
 - ☒ **ONLY if applicant has partially paid** the patent issue fee under 37 C.F.R. §1.18, then the **deficiency** shall be charged to Deposit Account No. 04-1644, and the Commissioner is authorized to so charge the Deposit Account.


21. **Correspondence Address:** ROCKEY, MILNAMOW & KATZ, LTD.
Two Prudential Plaza
180 North Stetson, Suite 4700
Chicago, Illinois 60601
Telephone: (312) 616-5400
Facsimile: (312) 616-5460

Customer Number (01942)
and/or Bar Code Label:



Date: October 26, 2001

Attorney's Signature


Randall T. Erickson, Reg. No. 33,872

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that this Utility Patent Application Transmittal, enclosed application, and any other documents referred to as enclosed herein, are being deposited in an envelope with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and addressed to Box PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231.

Express Mail Label No.: EL865235829US

Date of Deposit: October 26, 2001

Typed/Printed Name of Person Signing: Margaret M. Kipley

Signature:

